

MR3029-77



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: YAO-SHENG HSU :  
Serial No: 10/611,896 : Art Unit # 2811  
Filed : 3 JULY 2003 : Examiner:  
Title : THIN FILM TRANSISTOR STRUCTURE AND : T. F. Tran  
MANUFACTURING METHOD THEREOF

INFORMATION DISCLOSURE STATEMENT

Mail Stop RCE  
Honorable Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The Applicants wish to make the following art references of record in the above-identified Patent Application pursuant to 37 C.F.R. §§ 1.97 and 1.98, and to the Duty of Disclosure set forth in 37 C.F.R. § 1.56.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject Patent Application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search was made or that no other material information, as defined in 37 C.F.R. § 1.56(b), exists.

MR3029-77  
Appl. No. 10/611,896

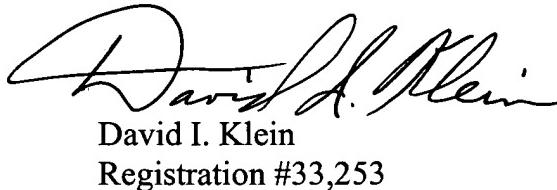
The cited references are:

<u>Ref. No.</u>	<u>Patent Document No.</u>	<u>Date</u>	<u>Applicant</u>	<u>Translation</u>
A	JP2000-194012	07-14-2000	Fujitsu Ltd.	Abstract only
B	JP2000-314897	11-14-2000	Hitachi Ltd.	Abstract only

This Information Disclosure Statement is being filed concurrently with a Request for Continued Examination (RCE), and before the mailing of an Office Action subsequent to the RCE.

A Form PTO-1449 and copies of the references are submitted along with this document. It is requested that the Examiner consider the references and make them of record in the above-referenced Patent Application.

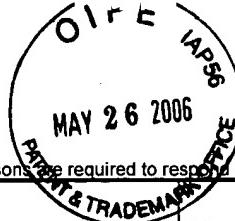
Respectfully submitted,  
FOR: ROSENBERG, KLEIN & LEE



David I. Klein  
Registration #33,253

Dated: *26 May 2004*

Suite 101  
3458 Ellicott Center Drive  
Ellicott City, MD 21043  
(410) 465-6678  
**Customer No. 04586**



PTO/SB/08A (04-03)

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Approved for use through 07/31/2008. GMD 5001-0001  
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**Substitute for form 1449/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(Use as many sheets as necessary)*

Sheet 1 of 1

Application Number 10/644,896

Application Number	10/611,896
Filing Date	3 JULY 2003
First Named Inventor	YU-CHOU LEE, ET AL.
Art Unit	2811
Examiner Name	T. F. TRAN
Allgemeine Produkt-Nr.	MP2020_33

**U. S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>8</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
A	JP2000-194012		07-14-2000	FUJITSU LTD.		
B	JP2000-314897		11-14-2000	HITACHI LTD.		

**Examiner  
Signature** \_\_\_\_\_ **Date  
Considered** \_\_\_\_\_

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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